

GRANT ACCOUNTABILITY AND TRANSPARENCY ACT (GATA)

21st Century Community Learning Centers Project Directors' Workshop
January 10, 2017

Purpose & Goals of GATA

- **Adopts the federal uniform guidance** ([2 CFR 200](#) “super circular”) for all grants and develops supplemental rules for areas not addressed by the federal regulations.
- The overarching goals of GATA are to **eliminate duplicative grant requirements and reduce administrative burdens** while **increasing accountability and transparency** through the application of standardized processes.
- The purpose of GATA is to provide for the development of a **coordinated, non-redundant process** for the provision of effective and efficient oversight of the selection and monitoring of grant recipients, ensuring quality programs; limiting fraud, waste, and abuse; and defining the purpose, scope, applicability, and responsibilities in the life cycle of a grant.

GATA Registration Process

- Illinois state agencies implemented the requirements of GATA **in the fiscal year 2017** grant cycle.
- GATA requires a **coordinated process** for awarding grants across state agencies.
- It is anticipated that grantees will have to **be prequalified prior to applying** for an award in FY 2018.
- Prequalification is an **annual process**. Grantees must have an FEIN number, DUNS number, and current SAMS CAGE code to begin the process.
- Grantees must register at [GATA](#) and complete an Internal Control Questionnaire.
- Grantees must also complete a GATA Risk Assessment in the [ISBE IWAS](#) system to be eligible.
- Information and numbers must be consistent between the two systems.

GATA Grant Process

- **Standard template** of information is used by ISBE to provide a **Notice of Funding Opportunity**, including program goals and requirements, and a description of the merit-based review appeal process.
- **Notice of State Award** is a required form that includes all terms and conditions of a grant. Accompanies the grant agreement and must be signed by grantee.
- **Three-part uniform grant agreement** is required for all grants. Agreement includes uniform requirements for all agencies, agency-specific terms, program-specific terms, and any special conditions. Exhibits include performance measures, performance standards, and deliverables.

Anticipated for FY 2018

- GOMB and state agencies have developed a policy framework called Grantee Compliance Enforcement System (GCES).
- The **Illinois Stop Payment List** is a component of the GCES.
- Effective January 1, 2017, the GCES establishes a **uniform framework** for all state grant-making agencies to respond to grantee noncompliance.
- The GCES outlines procedures for notifying grantees of noncompliance issues that **include, but are not limited to**, failure to provide required reports, return unexpended funds, or submit audits.
- The GCES **protocol allows grantees the opportunity to respond and resolve** noncompliance issues.
- Grantees on the Illinois Stop Payment List **may have grant funding withheld** from other agencies until the noncompliance issue is resolved.
- State agencies are prioritizing resources to support **full implementation of the GCES by the start of FY 2018.**

Next Steps

- An internal ISBE working group facilitates implementation, consistency, and problem resolution at ISBE. Contact gata@isbe.net for assistance.
- All ISBE grantees will be informed of changes in the pre-qualification process as soon as information is available.
- Anticipate that GATA prequalification and registration will be required prior to application of grants for FY 2018.

Resources

- [ISBE GATA internet webpage](#) includes links & resources.
- [GATU website](#) includes links & background materials.
- [Illinois Grant Accountability & Transparency Act \(GATA\)](#) (30 ILCS 708/)
- [Illinois Administrative Code Part 7000 – Grant Accountability and Transparency Act](#) (44 Ill. Adm. Code Part 7000)
- [Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards](#)