



21st CCLC and the Proposed ESEA Reauthorization: What Is at Stake?



Certifications and Assurances, and Standard Terms of the Grant

Illinois State Board of Education 21st Century Continuation SESSION TIMEOUT 50:23

Applicant: County: Cook 21st Century CLC Continuation

Application: 2014-2015 21st Century CLC Continuation Printer-Friendly

Cycle: Amendment 1 [Click to Return to Organization Select](#)

Project Number: [Click to Return to Application Select](#)

The application has been submitted. No more updates will be saved for the application.

Overview	Applicant Information Pages	FFATA	Sites Served	Sites To Be Served	Program Specific	Budget Pages	Assurance Pages	Submit	Application History	Page Lock Control	Application Print
Program Assurances	Debarment	Lobbying	State Assurances	Assurances							

Certification Regarding Lobbying [Instructions](#)

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

By checking this box, the applicant hereby certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the contractor/grantee, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the contractor/grantee shall complete and submit [ISBE 85-37](#) "Disclosure of Lobbying Activities," in accordance with its instructions.
- (3) The applicant shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.



What Is Lobbying?

- Lobbying is taking a specific position on a specific piece of legislation or ballot proposition/initiative
- Lobbying Language includes:
 - Support
 - Defeat, Oppose, Object
 - Pass
 - Vote No
 - Vote For
 - Vote Against



Education vs. Lobbying

Education

- Gather data and analysis to make your case
- Identify and engage allies
- Set up a meeting with your potential legislative champions to brief them on the general issue

Lobbying

- Research model legislation/ordinance
- Draft the language of the bill or ordinance
- Present the draft bill to potential champions
- Recruit bipartisan support in the city council/state legislator
- Testify for or against a bill/ordinance at a hearing
- Prepare for the vote by mobilizing grassroots and grasstops support for the specific bill/ordinance

Source Content: <https://www.whitehouse.gov/sites/default/files/ondcp/grants-content/presentations/ThauDFCGranteeMeeting20141209.pdf>



Can Entities Legally Lobby?

Yes!

BUT you must play by the rules



31.U.S.C 1352: Lobbying and the Use of Federal Funds

- **No Federal appropriated funds have been paid or will be paid**, by or on behalf of the contractor/grantee,
- **to any person for influencing or attempting to influence** an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress
- **in connection with the awarding of any Federal contract, the making of any Federal grant**, the making of any Federal loan, the entering into any cooperative agreement,
- **and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant**, loan, or cooperative agreement.



31.U.S.C 1352 Disclosure

- **If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence** an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement,
- the **contractor/grantee shall complete and submit [ISBE 85-37](#)"Disclosure of Lobbying Activities,"** in accordance with its instructions.



Certification of Lobbying

ILLINOIS STATE BOARD OF EDUCATION
100 North First Street
Springfield, IL 62777-0001

ATTACHMENT 16A

DISCLOSURE OF LOBBYING ACTIVITIES

Directions: Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352. (See reverse for public burden disclosure.)

1. TYPE OF FEDERAL ACTION

- a. Contract b. Grant c. Cooperative agreement d. Loan e. Loan guarantee f. Loan insurance

2. STATUS OF FEDERAL ACTION

- a. Bid/offer/application b. Initial award c. Post-award

3. REPORT TYPE

- a. Initial filing b. Material change For material change only: _____ Year _____ Quarter _____ Date of last report

4. NAME AND ADDRESS OF REPORTING ENTITY

- Prime Subawardee, Tier _____, if known _____ Congressional District, if known

5. IF REPORTING ENTITY IN NO. 4 IS SUBAWARDEE, ENTER NAME AND ADDRESS OF PRIME

_____ Congressional District, if known

6. FEDERAL DEPARTMENT/AGENCY

10. Information requested pursuant to 31 U.S.C. Section 1352. This is a representation of fact upon which this transaction was entered pursuant to 31 U.S.C. 1352. Failure to file the required report is a violation of 31 U.S.C. 1352 and will result in a fine of not less than \$10,000 and not more than \$50,000.



Resources

US Office of Management and Budget

Provides guidance, templates and tools on the use of federal funds

<https://www.whitehouse.gov/omb/organization>

US Government Publishing Office

Provides the full legislation on the use of federal funds and lobbying

<http://www.gpo.gov/fdsys/pkg/USCODE-2010-title31/pdf/USCODE-2010-title31-subtitleII-chap13-subchapIII-sec1352.pdf>



Thank you!

Contact your Principal Consultant if you have any additional questions at 217.524.4832 or via email at:

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